

# Task 4 . NFA. Context Free Grammar.

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Software Verification and Validation was undertaken in collaboration with Professor Namin. See the software-vv repository for related work.

**Submit PDF file (and Latex if you use it) of your solution to Canvas by 11:59pm Fri Feb 27. .**

1. You must use Latex.

2. (25 - basics) (NFA) Consider the NFA  $M = (\Sigma, K, s, F, \Delta)$  where  $\Sigma = \{a, b, c\}$ ,  $K = \{p_1, p_2, p_3\}$ ,  $s = p_1$ ,  $F = \{p_3\}$  and  $\Delta$  is a transition relation.

(a) What is the alphabet of  $M$ ?

**Solution.** The alphabet of  $M$  is  $\Sigma = \{a, b, c\}$ .

(b) What are the states of  $M$ ?

**Solution.** The states of  $M$  are  $K = \{p_1, p_2, p_3\}$ .

(c) What is the start state of  $M$ ?

**Solution.** The start state is  $s = p_1$ .

(d) Is  $p_2$  a final state of  $M$ ?

**Solution.** No. The set of final states is  $F = \{p_3\}$ , so  $p_2 \notin F$ .

(e) Give an example string over alphabet of  $M$ .

**Solution.** For example,  $abc$ ,  $a$ ,  $bb$ , or  $\varepsilon$  (if we allow the empty string over  $\Sigma$ ).

(f) Give an example of a configuration of  $M$  with state  $p_1$  in the configuration.

**Solution.** A configuration is a pair (state, remaining input). One example is  $(p_1, abc)$  or  $(p_1, \varepsilon)$ .

(g) Give an example of  $\Delta$  with at least 1 element and at most 3 elements (recall that  $\Delta$  is simply a set).

**Solution.**  $\Delta$  is a subset of  $K \times (\Sigma \cup \{\varepsilon\}) \times K$ . For example:  $\Delta = \{(p_1, a, p_2), (p_2, b, p_3)\}$ , or  $\Delta = \{(p_1, a, p_1)\}$  (one element).

3. (10 - basics) (Foundation) Study the *pumping lemma*.

(a) List all concepts used in the lemma. Each concept should be in the format of *concept name* and *arguments* of the concept.

**Solution.** (Typical formulation for regular languages.) Concepts: *language* (no arguments or language name), *regular* (language), *string* (e.g.  $s$ ), *length*

(string), positive integer (e.g. pumping length  $p$ ), decomposition/concatenation (string into  $xyz$ ), substring (e.g.  $y$ ), repetition (e.g.  $xy^iz$  for  $i \geq 0$ ), member (string, language).

- (b) List all logical symbols used in the lemma.

**Solution.** Typically:  $\forall$  (for all),  $\exists$  (there exists),  $\Rightarrow$  or  $\rightarrow$  (implies),  $\wedge$  (and),  $\neg$  (not),  $\in$  (member of),  $\geq$ ,  $=$ . In the statement: "If  $L$  is regular then there exists  $p \geq 1$  such that for every string  $s \in L$  with  $|s| \geq p$ , there exist  $x, y, z$  such that  $s = xyz$ ,  $|xy| \leq p$ ,  $|y| > 0$ , and for all  $i \geq 0$ ,  $xy^iz \in L$ ."

4. (10 - medium) (Ideas underlying Pumping Lemma) Given an NFA  $M$  and a computation over it:  $(p_1, abc) \vdash_M (p_2, bc) \vdash_M (p_2, c) \vdash_M (p_3, \varepsilon)$  where  $p_1$  is the start state and  $p_3$  a final state. (Hint. Not only recall what *accept* intuitively means, but also its formal definition. Note also the repetition of state  $p_2$  in the computation.)

- (a) is string  $abc$  accepted by  $M$ ?

**Solution.** Yes. The given computation shows  $(p_1, abc) \vdash_M^* (p_3, \varepsilon)$  with  $p_3 \in F$ , so by definition  $abc$  is accepted by  $M$ .

- (b) is string  $ac$  accepted by  $M$ ?

**Solution.** We are not told whether there is a computation from  $(p_1, ac)$  to some  $(q, \varepsilon)$  with  $q \in F$ . So we cannot conclude from the given information alone; the answer depends on  $\Delta$ . If there is a path  $p_1 \xrightarrow{a} \dots \xrightarrow{c} p_3$ , then  $ac$  is accepted; otherwise not.

- (c) is string  $ab^2c$  accepted by  $M$ ?

**Solution.** Yes. We can extend the same idea:  $(p_1, abbc) \vdash_M (p_2, bbc) \vdash_M (p_2, bc) \vdash_M (p_2, c) \vdash_M (p_3, \varepsilon)$  (reading one  $b$  in the middle step). So  $ab^2c$  is accepted.

- (d) Explain why for any non-negative number  $n$ ,  $ab^n c$  is accepted by  $M$ ?

**Solution.** The computation shows that from  $p_1$  we can read  $a$  and reach  $p_2$ , then stay in  $p_2$  while reading any number of  $b$ 's (including zero), then read  $c$  and reach  $p_3 \in F$ . So for any  $n \geq 0$ , we have  $(p_1, ab^n c) \vdash_M^* (p_3, \varepsilon)$ . By the formal definition of accept,  $ab^n c$  is accepted for every  $n \geq 0$ .

5. (5 - advanced) (Comprehensive). Using pumping lemma, prove the language  $\{0^n 1^n \mid n \geq 0\}$  over alphabet  $\Sigma = \{0, 1\}$  is not regular.

**Solution.** Assume for contradiction that  $L = \{0^n 1^n \mid n \geq 0\}$  is regular. Then the pumping lemma holds: there exists a pumping length  $p \geq 1$  such that for every  $s \in L$  with  $|s| \geq p$ , there exist  $x, y, z$  with  $s = xyz$ ,  $|xy| \leq p$ ,  $|y| > 0$ , and  $xy^iz \in L$  for all  $i \geq 0$ . Choose  $s = 0^p 1^p$ . Then  $s \in L$  and  $|s| = 2p \geq p$ . So  $s = xyz$  with  $|xy| \leq p$ ,  $|y| > 0$ . Hence  $xy$  lies entirely within the block of 0's, so  $y = 0^k$  for some  $k \geq 1$ . Then  $xy^0 z = xz = 0^{p-k} 1^p$  has fewer 0's than 1's, so  $xz \notin L$ . This contradicts  $xy^iz \in L$  for all  $i \geq 0$ . So  $L$  is not regular.

6. (35 - basics) (Context free grammar). Use the problem decomposition method to

- (a) write a precise definition AND a context free grammar for the language of all strings whose number of 1's is one less than its number of 0's.

**Solution.** Definition:  $L = \{w \in \{0,1\}^* \mid \#_0(w) - \#_1(w) = 1\}$  (over alphabet  $\Sigma = \{0,1\}$ ). In words: every string in  $L$  has exactly one more 0 than 1.

Grammar (decomposition): Decompose into "one extra 0" and "equal 0s and 1s." Let  $E$  generate strings with  $\#_0 = \#_1$ . Then  $S$  adds exactly one 0: either before or after such a string.

$$S \rightarrow 0E \mid E0 \quad E \rightarrow 0E1E \mid 1E0E \mid \varepsilon$$

and based on the grammar,

- (a) Write the right most derivation for string 100.

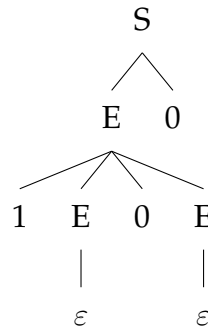
**Solution.** Rightmost derivation (always expand the rightmost nonterminal):

$$S \Rightarrow E0 \Rightarrow 1E0E0 \Rightarrow 1E00 \Rightarrow 100.$$

(First  $S \rightarrow E0$ ; then rightmost nonterminal  $E \rightarrow 1E0E$ ; then rightmost  $E \rightarrow \varepsilon$  giving  $1E0\varepsilon 0 = 1E00$ ; then  $E \rightarrow \varepsilon$  giving 100.)

- (b) Draw a parse tree for 100.

**Solution.** Parse tree: root  $S$  with children  $E, 0$ . The subtree for  $E$  has children  $1, E, 0, E$  (from  $E \rightarrow 1E0E$ ); both child  $E$ 's derive  $\varepsilon$ . So the tree yields  $1 \cdot \varepsilon \cdot 0 \cdot \varepsilon \cdot 0 = 100$ .



7. (5 - advanced) (Context free grammar). Following the method discussed in class, write context free grammar for the language (over alphabet  $\{0,1\}$ ) consisting of strings that have equal number 0's and 1's. If you follow ideas and methods other than the ones discussed in class, you will get at most 90% for this question.

**Solution.**  $L = \{w \in \{0,1\}^* \mid \#_0(w) = \#_1(w)\}$ . Grammar (start symbol  $E$ ):

$$E \rightarrow 0E1E \mid 1E0E \mid \varepsilon$$

Each rule preserves the balance of 0s and 1s;  $\varepsilon$  gives the base case.

8. (10 - medium) (Foundation) Give a precise definition of *context free language*.

**Solution.** A language  $L$  over an alphabet  $\Sigma$  is a *context-free language* (CFL) if there exists a context-free grammar  $G = (V, \Sigma, P, S)$  such that the language generated by  $G$  equals  $L$ , i.e.  $L(G) = L$ . Equivalently:  $L$  is a CFL iff  $L = \{w \in \Sigma^* \mid S \Rightarrow^* w\}$  for some CFG  $G$  with start symbol  $S$ .